

# On an Approach to Implementing Exact Real Arithmetic in Curry

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- 1 Motivation and Background
  - Computable Functions
  - Type-2 Machines
  - Type-2 Machines for Functions on  $\mathbb{R}$
- 2 An Abstract View on the Data Type `Real`
- 3 Auxiliary Types and Functions
- 4 Representing Real Numbers as Cauchy Sequences
- 5 Conclusions and further work

## 1 Motivation and Background

Computable Functions

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## 5 Conclusions and further work

# Computable Functions

- ▶ Functions on  $\mathbb{N}$  (or on **finite** words)
  - ▶ well-established concepts of effectively computable functions
  - ▶ different concepts, all equivalent (eg. Turing machines)

# Computable Functions

- ▶ Functions on  $\mathbb{N}$  (or on **finite** words)
  - ▶ well-established concepts of effectively computable functions
  - ▶ different concepts, all equivalent (eg. Turing machines)
  
- ▶ Functions on  $\mathbb{R}$  (or on **infinite** words)
  - ▶ different approaches to computable analysis
  - ▶ approaches *not* equivalent
  - ▶ differences in content and in technical details
  - ▶ here: exact real arithmetic based on **Type-2 Theory of Effectivity** [Weihrauch 2000]

## (Type-1) Computability Theory

- ▶ (partial) functions over **finite** words:

$$f : \Sigma^* \rightarrow \Sigma^*$$

- ▶ computable function given by **Turing machine**
- ▶ computability on other sets  $M$   
(e.g., rational numbers, graphs, ...)
  - ▶ use words as **names** or **codes** of elements of  $M$
  - ▶ interpret words computed by Turing machine as elements of  $M$

# Computable Functions

- ▶ real numbers can not be represented by finite words

$$\pi = 3.14159\dots$$

# Computable Functions

- ▶ real numbers can not be represented by finite words

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- ▶ Type-2 Theory of Effectivity (TTE) [Weihrauch 2000]
  - ▶ extends Type-1 computability
  - ▶ **infinite** words are used as **names** for real numbers
  - ▶ (partial) functions over **infinite** words:

$$f : \Sigma^\omega \rightarrow \Sigma^\omega$$

- ▶ computable function given by **machine transforming infinite sequences to infinite sequences**



## Turing machine $M$ with

- ▶  $k$  **one-way**, read-only input tapes
- ▶ finitely many (two-way) work tapes
- ▶ a single **one-way**, write-only output tape

# Type-2 Machine

function  $f_M$  computed by  $M$

- ▶  $y_1, \dots, y_k \in \Sigma^* \cup \Sigma^\omega$  on input tapes

Case 1:

$$f_M(y_1, \dots, y_k) = y_0 \in \Sigma^*$$

iff  $M$  halts on input  $y_1, \dots, y_k$  with  $y_0$  on the output tape

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Case 2:

$$f_M(y_1, \dots, y_k) = y_0 \in \Sigma^\omega$$

iff  $M$  computes forever on input  $y_1, \dots, y_k$  and writes  $y_0$  on the output tape

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iff  $M$  computes forever on input  $y_1, \dots, y_k$  and writes  $y_0$  on the output tape

**Note:**  $f_M(y_1, \dots, y_k)$  is undefined if  $M$  computes forever, but writes only finitely many symbols on the output tape

## Definition (computable function)

$$f : \subseteq Y_1 \times \dots \times Y_k \rightarrow Y_0$$

is **computable** iff it is computed by a Type-2 machine  $M$ .

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infinite computations can not be finished in reality –  
but

- ▶ finite computations
- ▶ on **finite initial parts** of inputs
- ▶ producing **finite initial parts** of outputs

can be realized

- ▶ up to any arbitrary precision

## Type-2 Machines for $\mathbb{R}$ : Which names?

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### Example (addition in decimal representation)

$$\text{Inputs: } y_1 = 0.6666666666\dots$$

$$y_2 = 0.3333333333\dots$$



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0.      or      1.

$\Rightarrow$  may be **wrong** depending on next input symbol

$\Rightarrow$  there is **no** Type-2 machine computing addition on  $\mathbb{R}$  and using decimal representation

# Type-2 Machines for $\mathbb{R}$ : Which names?

Better names for elements of  $\mathbb{R}$

- ▶  $x \in \mathbb{R}$

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Better names for elements of  $\mathbb{R}$

- ▶  $x \in \mathbb{R}$
- ▶ **quickly converging Cauchy sequence** of rational numbers

$$r_0, r_1, r_2, \dots$$

with

$$\lim_{i \rightarrow \infty} r_i = x$$

and

$$|r_k - x| \leq 2^{-k}$$

# Type-2 Machines for $\mathbb{R}$ : Computing functions

Example (addition using Cauchy sequences as names)

Inputs:  $y = r_0, r_1, r_2, r_3, \dots$   
 $y' = r'_0, r'_1, r'_2, r'_3, \dots$

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Example (addition using Cauchy sequences as names)

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$y' = r'_0, r'_1, r'_2, r'_3, \dots$

Addition

Output:  $x = r_1 + r'_1, r_2 + r'_2, r_3 + r'_3, r_4 + r'_4, \dots$

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Addition

Output:  $x = r_1 + r'_1, r_2 + r'_2, r_3 + r'_3, r_4 + r'_4, \dots$

Multiplication

Output:  $x = r_k \times r'_k, r_{k+1} \times r'_{k+1}, r_{k+2} \times r'_{k+2}, \dots$



# Type-2 Machines for $\mathbb{R}$ : Computing functions

Example (addition using Cauchy sequences as names)

$$\begin{aligned}\text{Inputs: } y &= r_0, r_1, r_2, r_3, \dots \\ y' &= r'_0, r'_1, r'_2, r'_3, \dots\end{aligned}$$

Addition

$$\text{Output: } x = r_1 + r'_1, r_2 + r'_2, r_3 + r'_3, r_4 + r'_4, \dots$$

Multiplication

$$\text{Output: } x = r_k \times r'_k, r_{k+1} \times r'_{k+1}, r_{k+2} \times r'_{k+2}, \dots$$

- ▶ componentwise on input sequences
- ▶ **look ahead**:  $k$  elements dropped from resulting sequence
- ▶ depends on function to be computed and on arguments
- ▶ look ahead always finite

# Type-2 Machines for $\mathbb{R}$ : Computing functions

functions on  $\mathbb{R}$  **not computable** in TTE:

$$x = y$$

$$x \leq y$$

$$x \geq y$$

## Type-2 Machines for $\mathbb{R}$ : Computing functions

- ▶ finite initial part of name  $r_0, r_1, r_2, \dots$  for  $x \in \mathbb{R}$  represents **set** of possible values
- ▶ increasing precision corresponds to use larger input part
- ▶ lower and upper bound of denoted set of values converge to  $x$
- ▶ functions using initial parts of names are **multi-valued**

$$eq : \mathbb{R} \times \mathbb{R} \rightrightarrows Bool$$

$$le : \mathbb{R} \times \mathbb{R} \rightrightarrows Bool$$

# Goal of this work

- ▶ implement exact real arithmetic based on Type-2-Theory of Effectivity
- ▶ use declarative approach close to underlying theory
- ▶ use modular approach allowing for different representations (names) of  $x \in \mathbb{R}$
- ▶ use Curry
  - ▶ functional concept
  - ▶ lazy evaluation
  - ▶ non-determinism
  - ▶ ...

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# Abstract View on the Data Type `Real`

```
realq :: Rat -> Real
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```
realq :: Rat -> Real
```

```
add    :: Real -> Real -> Real
```

```
sub    :: Real -> Real -> Real
```

```
neg    :: Real -> Real
```

```
mul    :: Real -> Real -> Real
```

```
power  :: Real -> Nat  -> Real
```

```
nthroot :: Nat  -> Real -> Real
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```
power  :: Real -> Nat  -> Real
```

```
nthroot :: Nat  -> Real -> Real
```

```
le     :: Real -> Real -> Fuzzybool
```

```
leq    :: Real -> Real -> Fuzzybool
```

```
isPositive :: Real -> Fuzzybool
```

```
isZero  :: Real -> Fuzzybool
```



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# Auxiliary Types and Functions: Rational Numbers

```
data Rat = Rat Int Int
```

```
num    :: Rat -> Int  
denom  :: Rat -> Int  
norm   :: Rat -> Rat  
ratn   :: Int -> Rat  
ratf   :: Int -> Int -> Rat
```

```
add :: Rat -> Rat -> Rat  
sub :: Rat -> Rat -> Rat  
mul :: Rat -> Rat -> Rat  
neg :: Rat -> Rat
```

```
eq  :: Rat -> Rat -> Bool  
le  :: Rat -> Rat -> Bool  
leq :: Rat -> Rat -> Bool
```

# Auxiliary Types and Functions: Fuzzybool

**Fuzzybool** - result type of e.g. comparing two reals for equality

```
eq x y = Fuzzy f
```

- ▶  $f: \text{Rat} \rightarrow \text{Bool}$
- ▶ nondeterministic function
- ▶ depending on precision:  $f\ r$  may yield *true*, *false*, or both

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- ▶ nondeterministic function
- ▶ depending on precision:  $f\ r$  may yield *true*, *false*, or both

```
data Fuzzybool = Fuzzy (Rat -> Bool)
```

```
defuzzy :: Fuzzybool -> Rat -> Bool
```

```
defuzzy (Fuzzy f) r = f r
```

# Auxiliary Types and Functions: Fuzzybool

```
andf :: Fuzzybool -> Fuzzybool -> Fuzzybool  
andf a b = Fuzzy (\r -> (defuzzy r a) && (defuzzy r b))
```

```
orf :: Fuzzybool -> Fuzzybool -> Fuzzybool  
orf a b = Fuzzy (\r -> (defuzzy r a) || (defuzzy r b))
```

```
notf :: Fuzzybool -> Fuzzybool  
notf a = Fuzzy (\r -> not (defuzzy r a))
```

# Auxiliary Types and Functions: Intervals

```
data Interval = Interval Rat Rat
lower :: Interval -> Rat
upper :: Interval -> Rat
```

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```
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lower :: Interval -> Rat
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```

- ▶ `isZero` yields *true* if 0 is in the interval
- ▶ `isZero` yields *false* if some  $x$  not equal to 0 is in the interval

```
isZero :: Interval -> Bool
isZero arg | q.leq (lower arg) (ratn 0) && q.leq (ratn
    0) (upper arg) = True
isZero arg | q.le (lower arg) (ratn 0) || q.le (ratn 0)
    (upper arg) = False
```

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```
isZero :: Interval -> Bool
isZero arg | q.leq (lower arg) (ratn 0) && q.leq (ratn 0) (upper arg) = True
isZero arg | q.le (lower arg) (ratn 0) || q.le (ratn 0) (upper arg) = False
```

- ▶ `isPositive` yields *true* if interval contains a positive number
- ▶ `isPositive` yields *false* if interval contains a non-positive number

```
isPositive :: Interval -> Bool
isPositive arg | q.le (ratn 0) (upper arg) = True
isPositive arg | q.leq (lower arg) (ratn 0) = False
```



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# Real Numbers as Cauchy Sequences

```
data Real :: Cauchy (Int -> Rat)
```

```
realq :: Rat -> Real  
realq a = (Cauchy (\_ -> a))
```

# Real Numbers as Cauchy Sequences

```
data Real :: Cauchy (Int -> Rat)
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realq :: Rat -> Real  
realq a = (Cauchy (\_ -> a))
```

```
add :: Real -> Real -> Real  
add a b = Cauchy(\k -> let m=k+1 in q.add (get a m) (get b m))  
sub :: Real -> Real -> Real  
sub a b = add a (neg b)  
neg :: Real -> Real  
neg a = Cauchy(\k -> q.neg (get a m))  
get :: Real -> Int -> Rat  
get (Cauchy x) k = x k
```

Similar for multiplication and other functions; determine **look-ahead**

# Real Numbers as Cauchy Sequences

```
eq :: Real -> Real -> Fuzzybool
eq x y = isZero (sub y x)

le :: Real -> Real -> Fuzzybool
le x y = isPositive (sub y x)

leq :: Real -> Real -> Fuzzybool
leq x y = (f.notf . isPositive) (sub x y)
```

isZero and isPositive reduced to corresponding functions on intervals:

```
isPositive :: Real -> Fuzzybool
isPositive x = f.fuzzy(\r -> i.isPositive(toInterval r x))

isZero :: Real -> Fuzzybool
isZero x = f.fuzzy(\r -> i.isZero(toInterval r x))
```

function yielding interval realizing any given precision with respect to the given  $x$  of type `Real`.

# Real Numbers as Cauchy Sequences

Given  $p$  of type `Rat` and  $x$  of type `Real`:

`toInterval` determines an interval containing  $\tilde{x} \in \mathbb{R}$  represented by  $x$  and approximating  $\tilde{x}$  with precision  $p$ .

```
toInterval :: Rat -> Real -> Interval
toInterval p x = let y = approx p x in
  interval (q.sub y p) (q.add y p)

approx :: Rat -> Real -> Rat
approx p x = get x (prec p)

prec :: Rat -> Int
prec x | q.le (ratn 0) x = minexp q.leq x (ratf 1 2)
```

`approx p x` approximates  $\tilde{x}$  with precision  $p$

# Example: Square Root

$$x_0 = 2$$
$$x_{k+1} = \frac{1}{2} \left( x_k + \frac{2}{x_k} \right)$$

has the limit

$$\lim_{k \rightarrow \infty} x_k = \sqrt{2}.$$

```
sqrt2 :: Real
sqrt2 = Cauchy (\k -> sqrt2sub (ratf 0 1) (ratf 2 1)
  (q.power (ratf 1 2) k))

sqrt2sub :: Rat -> Rat -> Rat -> Rat
sqrt2sub x1 x2 e =
  let u = q.max x1 x2
      l = q.min x1 x2
  in if q.leq (q.sub u l) e then x2
     else sqrt2sub x2 (q.mul (ratf 1 2) (q.add x2
  (q.dvd (ratf 2 1) x2))) e
```

# Example: Decimal Representation

```
dec :: Real -> Int -> String
```

dec x k

returns value of  $\tilde{x}$  as a string containing k decimal places  
(no rounding)

```
real> dec sqrt2 10  
Result: "1,4142135623"  
More Solutions? [Y(es) n(o) a(II)]  
Result: "1,4142135624"  
More Solutions? [Y(es) n(o) a(II)]  
No more Solutions
```

# Example: Decision Functions

*sign* function on  $\mathbb{R}$

$$\text{sign}(x) = \begin{cases} 1 & \text{if } x > 0 \\ 0 & \text{if } x = 0 \\ -1 & \text{if } x < 0 \end{cases}$$

not exactly computable

⇒ multi-function

⇒ nondeterministic function in Curry

With additional precision parameter  $p$ :

```
sgn :: Rat -> Real -> Int
sgn p x | defuzzy p (r.isPositive x) == True = 1
sgn p x | defuzzy p (r.isZero x) == True = 0
sgn p x | defuzzy p (notf (r.isPositive x)) == True = -1
```



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# Conclusions and further work

- ▶ Type-2 Theory of Effectivity (TTE) [[Weihrauch 2000](#)]
  - ▶ computation on infinite objects
  - ▶ multi-functions
- ▶ exact real arithmetic in Curry based on TTE
- ▶ high-level declarative approach using features of Curry
  - ▶ functional concept
  - ▶ lazy evaluation
  - ▶ non-determinism
- ▶ implemented system
  - ▶ rich set of functions (including  $\exp$ ,  $\log$ ,  $\ln$ ,  $\sin$ ,  $\cos$ , ...)
  - ▶ alternative representations (Cauchy sequences, Cauchy sequences with rounding, intervals)
  
- ▶ applications
- ▶ efficiency
- ▶ complexity issue
- ▶ ...